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19249 U.S. PRO  
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07/15/03

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>		<b>Attorney Docket No.</b> IN-5429Div.	
		<b>First Named Inventor</b> Swaminathan RAMESH et al.	
		<b>Filing Date</b> July 15, 2003	
		<b>Title</b> Water-Based Acrylic Emulsion Dispersants Utilized as Grind Resins for Pigments and Method of Preparing the Same	
		<b>Express Mail Certificate</b> ER 083 675 921US	
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450	
<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b>  <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> <b>Applicant claims small entity status.</b>  <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <input type="text" value="35"/> ]            - (preferred arrangement set forth below)            - Descriptive title of the invention            - Cross References to Related Application            - Statement Regarding Fed sponsored R &amp; D            - Reference to Microfiche Appendix            - Background of the invention            - Background of the invention            - Background of the invention            - Brief Summary of the invention            - Brief Description of the Drawings (if filed)            - Detailed Description            - Claim(s)            - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> <b>Drawing(s) (35 U.S.C.113)</b> [Total Sheets <input type="text"/> ]</p> <p>5. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> [Total Sheets <input type="text" value="03"/> ]            a. <input type="checkbox"/> Newly executed (original or copy)            b. <input checked="" type="checkbox"/> <b>Copy from a prior application (37 CFR § 1.63(d))</b>  <small>(for continuation/divisional with Box 17 completed)</small>            i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>  <small>Signed statement attached deleting</small></p> <p>6. <input checked="" type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</p> </div> <div style="width: 48%;"> <p>7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b></p> <p>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b>  <small>(if applicable, all necessary)</small>            a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b>            b. <b>Specification Sequence Listing on:</b>                i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b>                ii. <input type="checkbox"/> <b>paper</b>            c. <input type="checkbox"/> <b>Statements verifying identity of above copies</b></p> </div> </div>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>9. <input checked="" type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b></p> <p>10. <input type="checkbox"/> <b>37 CFR§3.73(b) Statement</b> <input type="checkbox"/> <b>Power Of Attorney</b>  <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> <b>English Translation Document (if applicable)</b></p> <p>12. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/ PTO-1449</b> <input type="checkbox"/> <b>Copies of IDS Citations</b></p> <p>13. <input type="checkbox"/> <b>Preliminary Amendment</b></p> <p>14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b>  <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b>  <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> <b>other:</b> .....</p>			
<p><b>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;</b></p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> <b>Divisional</b> <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. <u>09/747,472</u> filed on <u>December 22, 2000</u>.</p> <p><b>Prior application information:</b> Examiner <u>Harlan, Robert D.</u> Group/Art Unit: <u>1713</u></p> <p><small>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small></p>			
<b>18. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> <b>Customer Number or Bar Code Label</b>		<input type="checkbox"/> <b>Correspondence address below</b>	
 <b>26922</b> PATENT-TRADEMARK OFFICE			
<b>Country</b>	USA	<b>Telephone</b>	(248) 948-2021
<b>Name (print Type)</b>	Anne Gerry Sabourin	<b>Fax</b>	(248) 948-2093
<b>Signature</b>	<i>Anne G. Sabourin</i>	<b>Registration No. (Attorney Agent)</b>	33,772
		<b>Date</b>	July 15, 2003

**FEE TRANSMITTAL**

For FY 2002

Patent fees are subject to annual revision.

Attorney Docket No.

IN-5429Div.

First Named Inventor

Swaminathan RAMESH et al.

Filing Date

July 15, 2003

Title

Water-Based Acrylic Emulsion Dispersants Utilized as Grind Resins for Pigments and Method of Preparing the Same

Express Mail Certificate

ER 083 675 921US

**TOTAL AMOUNT OF PAYMENT** \$948.00**METHOD OF PAYMENT (check all that apply)**☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number

23-3425

Deposit Account Name

BASF CORPORATION

**The Commissioner is authorized to: (check all that apply)**☒ Change fees indicated below ☒ Charge the Issue Fee Set in 37 CFR §☒ Change any addition fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE****Large Entity**

Fee	Code	Fee Description	
101	740	Utility filing fee	\$750.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	160	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>\$750.00</b>

**2. EXTRA CLAIM FEES**

Extra Claims Fee from below Fee Paid

Total Claims

31 - 20\*\* = 11 x 18 = \$198.00

Independent

Claims

03 - 3\*\* = 0 x 84 = \$

Multiple Dependent

280 =

\*\*Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Fee

Code (\$)

103 18 Claims in excess of 20

102 80 Independent claims in excess of 3

104 270 Multiple dependent claims, if not paid

109 82 \*\*Reissue independent claims over original patent

110 22 \*\*Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$198.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

SIR after Examiner action

**3. ADDITION FEES**

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
147	2,520		
		SIR after Examiner action	
		SIR after Examiner action	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,280	Petition to revive-unintentional	
142	1,280	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37.CFR 1.129(b))	
Other	fee	(specify)	

Reduced By Basic Fee Paid Filing **SUBTOTAL (3)****18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

26922

PATENT-TRADEMARK OFFICE

Country

USA

Telephone

(248) 948-2021

Fax

(248) 948-2093

Name (print Type)

Anne Gerry Sabourin

Registration No. (Attorney Agent)

33,772

PATENT

(Practitioner's Docket No. IN-5429Div)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ramesh et al.	
Serial No.: This application is a Divisional Application of U.S. Serial No. 09747,472	Group Art Unit: Not Assigned
Filed: July 15, 2003	Examiner: Not Assigned

For: Water-Based Acrylic Emulsion Dispersents Utilized as Grind Resins for Pigments and Method of Preparing the Same.

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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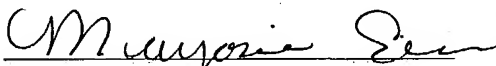
I hereby certify that the following attached paper or fee

<input checked="" type="checkbox"/>	Information Disclosure Statement
<input checked="" type="checkbox"/>	From PTO-1449

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Marjorie Ellis

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Signature of person mailing paper or fee)

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PATENT

(Practitioner's Docket No. IN-5429Div)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ramesh et al.	
Serial No.: This application is a Divisional Application of U.S. Serial No. 09747,472	Group Art Unit: Not Assigned
Filed: July 15, 2003	Examiner: Not Assigned

For: Water-Based Acrylic Emulsion Dispersents Utilized as Grind Resins for Pigments and Method of Preparing the Same.

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Alexandria, VA 22313-1450

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"Express Mail" label number **ER083674921US** Date of Deposit **July 15, 2003**

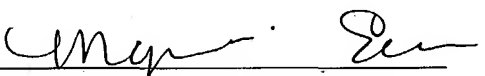
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<input checked="" type="checkbox"/>	Patent Application Data Sheet	01	Page(s)
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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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Serial No.: This application is a Divisional Application of U.S. Serial No. 09747,472	Group Art Unit: Not Assigned
Filed: July 15, 2003	Examiner: Not Assigned

For: Water-Based Acrylic Emulsion Dispersants Utilized as Grind Resins for Pigments and Method of Preparing the Same.

Commissioner for Patents  
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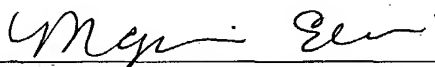
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<input checked="" type="checkbox"/>	Combined Declaration and Power of Attorney	Copy	03	Page(s)
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Signature of person mailing paper or fee)

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☒ Application  
Specification on  pages; claims on  pages;  
the abstract on  page(s); drawings on  page(s).

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



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